Sertraline and rapid eye movement sleep without atonia: an 8-week, open-label

study in depressed patients

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Abstract

Previous studies have reported that selective serotonin reuptake inhibitors (SSRIs) may induce or exacerbate rapid eye movement (REM) sleep without atonia (RSWA) and increase the risk of developing REM sleep behavior disorder (RBD). However, most of them-were retrospective and cross-sectional studies with small sample size on a mixture of SSRIs with small sample sizes and included data on a mixture of SSRIs. As different SSRIs have different pharmacological profiles, the specific effects of a singleof individual SSRIs on RSWA should be studied. In an 8-week, open-label trial of sertraline in depressed patients (n=31), depressed patients were administered 50 mg sertraline at 8 am on the 1st day;; this dose and was subsequently titrated up to a maximum of 200 mg/day. All patients had-underwent repeated video-polysomnography (vPSG) (baseline, 1st day, 14th day, 28th day, and 56th day). Both tonic (submental) and phasic (submental and anterior tibialis) RSWA were visually counted. The tT onic RSWA increased from 3.2±1.8% at baseline to 5.1±2.3% on the 1st day on sertraline and 10.4±2.7% on the 14th day; from then on,, with stable measures until-were taken until the 56th day. A similar profile was observed for phasic RSWA, as well as and for the proportion of patients with abnormal phasic anterior tibialis. No RBD was observed. The increase of in tonic muscle tone during REM

sleep over time correlated with reduced REM sleep Latency latency (r=0.56, p=0.004), PLMI (r =0.39, p=0.047), and improvement improved in depression (HRSD score, r=-0.43, p=0.03). The increases of in phasic submental RSWA-(r =-0.51, p=0.02) and anterior tibialis (r=0.41, p=0.04) RSWA was-were correlated with decreased REM sleep Latency, and it were was not correlated with patient s' demographics and or clinical characteristics. Sertraline could induced or exacerbated RSWA,—_but did not induce RBD. Compared with idiopathic RBD, the sertraline-related RSWA had somespecific characteristics of being-correlated with REM latency and no predominance of male sex and elder-older age, so-suggesting they that RSWA might have-involve different mechanisms with-than idiopathic RBD.

Key-wordsKey words: rapid eye movement (REM) sleep without atonia (RSWA); REM sleep behavior disorder (RBD); Sertraline; depressed patient

Clinical Trial Registry: An 8-week, open-label study to evaluate the effect of sertraline on the polysomnogramy of <u>depressive-depressed</u> patients with insomnia,— <u>http://elinicaltrials.gov/ct2/show/NCT01032434</u>. Registry identifier: NCT01032434

Abbreviations: 5-HT: serotonin; AASM-2007: American Academy of Sleep Medicine 2007 version; AHI: apnea-hypopnea index; AI: arousal index; ANOVA: one-way analysis of variance; BMI: body mass index; CT: <u>Computed-computed</u> <u>t</u>-compare to the transmission of transmission of the transmission of the transmission of the transmission of tr 批注 [Ed.1]: Abbreviations and acronyms are often defined the first time they are used within the abstract and again in the main text and then used throughout the remainder of the manuscript. Please consider adhering to this convention.

mental disorders fourth edition; ECG: Electrocardiographelectrocardiograph; EMG: electromyogram; EOG: electrooculography; ESS: Epworth sleepiness scale; HRSD: Hamilton rating scale for depression; MSLT: multiple sleep latency test; OSA: obstructive sleep apnea; OCD: obsessive-compulsive disorder; PD: parkinson'sParkinson's disorder; PLMI: periodic limb movement index; PLMS: periodic limb movement during sleep; PSG: Polysomnogrampolysomnogramy; PSQI: Pittsburgh sleep quality index; REM: rapid eye movement; RSWA: REM sleep without atonia; RLS: restless legs syndrome; SCID-2: the second version of the Structured Clinical Interview for DSM-IV Axis I Disorders; SE: <u>Sleep sleep</u>. Efficiencycfficiency; SL: <u>Sleep-sleep Latencylatency</u>; SSRI: selective serotonin reuptake inhibitors; TESS-S: treatment emergent symptom scale-severity; TESS-T: treatment emergent symptom scale-treatment; TRT: total recording time; TST: total sleep time; vPSG: video-ploysomnographypolysomnography; WASO: wake after sleep onset.

1. INTRODUCTION

Rapid eye movement (REM) sleep behavior disorder (RBD) is a parasomnia characterized by <u>the</u> loss of normal atonia during REM sleep and dream_-enacting behavior (Schenck and Mahowald, 2002, AASM, 2005). Idiopathic RBD is a male-predominant disorder that usually emerges after <u>50 years the of age of 50 years</u> (Schenck and Mahowald, 2002, AASM, 2005), and <u>it</u> is frequently described before the onset and during the course of synucleinopathies_<u>__that</u>-include<u>ing</u>.Parkinson's disorder (PD), multiple system atrophy, and dementia with Lewy bodies (Iranzo et al., 2009). RBD is strongly associated with an abnormal increase <u>of in</u> phasic and tonic muscle tone during REM sleep, a condition named REM sleep without atonia (RSWA). <u>However, it is not known whether Whether</u> RSWA is a sufficient and necessary condition for the emergence of RBD_remains unknown,-; however, although some cases <u>of RSWA</u> have been documented with RSWA andto later <u>become</u>.

full-blown RBD (Gagnon et al., 2006, Arnulf, 2012, AASM, 2005). According to the international International classification Classification of sleep Sleep disorders-Disorders, Ssecond edition Edition (ICSD-2), the criteria of for RBD include the appearance of elevated submental electromyogram (EMG) tone and/or excessive phasic submental or anterior tibialis EMG activity during REM, combined with sleepsleep-related injurious, potentially injurious, or abnormal REM sleep behaviors documented during polysomnographic (PSG) monitoring; . while Alternatively, the criteria of for subclinical RBD only include the REM sleep PSG abnormalities and but without do not a-include a clinical history of RBD (AASM, 2005). The abnormal amount of RSWA (as a percentage of REM sleep) has been determined by different methods, based on measures in normal subjects and in patients with idiopathic RBD. When using the Using the American Academy of Sleep Medicine 2007 version (AASM-2007) criteria for measuring tonic and phasic muscle activity (Iber C, 2007), 18% of REM sleep time with in which any 3-second lasting tonic or phasic muscle activity lasted 3 seconds on in an epoch was specific characterized of as RBD in a series of 15 patients with idiopathic RBD, 15 with RBD associated with Pparkinson's disease and 30 matched controls (Frauscher et al., 2012). Gagnon argued that a similar cutoff (greater than 20%)) of the tonic submental muscle activity during REM sleep was a reasonable threshold for defining muscle activity as excessive or potentially pathological (Gagnon et al., 2006). In another study being consisted of comprising 80 patients with idiopathic RBD, tonic submental muscle activity greater than 30% of the total REM sleep time,-_and a-phasic submental muscle activity greater than 15%

were <u>considered</u> optimal cut-offs to <u>for the diagnose diagnosis of</u> idiopathic RBD <u>from in</u> normal controls (Montplaisir et al., 2010).

In view of the clinical lore and a small number of published studies, antidepressants may induce or exacerbate RSWA and increase the risk of developing RBD or subclinical RBD (Guilleminault et al., 1976, Bental et al., 1979, Schenck et al., 1992, Onofrj et al., 2003, Winkelman and James, 2004, Zhang et al., 2010, Hoque and Chesson, 2010). A recent clinical epidemiological study on parasomnia in psychiatric out-patients find outrevealed that the lifetime and 1-year prevalences of RBD and/or subclinical RBD among psychiatric out-patients are 5.8% and 3.8%respectively%, respectively. It These prevalences are is ten times more commonhigher than the prevalence the prevalence of RBD in the general population. Further, compared with RBD patients in the general population, these patients are were of younger- in age, were predominantly female-predominance, being were associatedwithmore likely to be using ______-antidepressants-usage, and no-had less concurrent neurodegenerative diseases compared to the RBD patients in the general population-(Lam et al., 2008). In recent decades, The sselective serotonin (5-HT) reuptake inhibitors (SSRIs) are have become the first-line antidepressants; in recent decades, and their potential effects on RSWA can be are suspected from based on basic knowledge on of muscle atonia during REM sleep. The normal loss of muscle tone during REM sleep results from two mechanisms, one passive and one active. Serotonergic neurons descending to the nuclei of the cranial nerves and to the lower motor neurons reduce their firing, leading to the disfacilitation of the neurons

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during non-non-REM sleep, and the cease ceasing of firing during REM sleep (Siegel, 2006). As a consequence, muscle tone is reduced from light to deep non-REM sleep, as well as and then during REM sleep, leading to hypotonia (postural muscle tone is reduced but still present). In addition to this passive mechanism, an active paralysis of postural muscle tone (termed atonia) - (named atonia) occurs specifically during REM sleep,-_and uses a the cholinergic-glutaminergic-glycinergic pathway to eventually block the postsysnaptic postsynaptic lower motor neurons. In humans, drugs that stimulate the serotonin system (e.g., fluoxetine, paroxetine, and venlafaxine) and those that block acetylcholine transmission (tricyclics such as clomipramine) can induce RSWA and/or RBD, possibly because due to their they prevention of the normal sleep-related hypotonia (serotoninergic drugs) or the-normal REM sleep-related atonia (anticholinergics) (Arnulf, 2012). Previous studies suggested that_ compared with controls, SSRIs could intensify dreaming (Pace-Schott et al., 2001), and produce increase more RSWA than did controls, and might possibly increase the risk of developing RBD (Schenck et al., 1992, Winkelman and James, 2004, Gagnon et al., 2006, Zhang et al., 2010, Hoque and Chesson, 2010). However, most of these researches studies are were retrospective, and cross-sectional studies with smallsample size on a mixture of SSRIs with small sample sizes that received a mixture of SSRIs. It is well known that not all SSRIs do not have the same pharmacological profiles-; so-thus, different SSRIs might have different induce RSWA. The specific effects of a singleindividual SSRIs on RSWA should be studied. The main purpose of this study is was to characterize the effect of sertraline on RSWA

in depressed patients in <u>an</u>8-week clinical trial <u>with-using</u> repeated video-<u>ploysomnographypolysomnography</u> (vPSG) assessment.

2. METHODS

2.1. Patients and Study Design

The protocol of this study was approved by the Independent Ethics Committee (IEC) of Guangdong Provincial Mental Health Centre. Written informed consents were was signed obtained from each patient prior to participation.

All patients were enrolled from the inpatient population of Guangdong Provincial Mental Health Center. If a patient was diagnosed with a single or recurrent type of major depressive disorder according to the Diagnostic and Statistical Manual of Mental Disorders Fourth Edition (DSM-IV) upon admission, the patient's diagnosis <u>of the patient would-was be</u> ascertained by one of the authors (BZ) using the second version of the Structured Clinical Interview for DSM-IV Axis I Disorders (SCID-2) (First MB, 1996). None of <u>the</u> patients included in the study fulfilled any other current or lifetime diagnostic criteria of for DSM-IV Axis I disorders. Patients were male and female, aged 18 to 65 years, with had a Hamilton Rating Scale for Depression (HRSD) score<u>s</u> \geq 18 and a sleep disturbance factor score<u>s</u> in HRSD \geq 3 in the HRSD (Hamilton, 1960), reflecting-which reflected a-moderate-to-high level<u>s</u> of illness severity (depression and insomnia). Possible concurrent medical disorders were ruled out by a thorough medical examination and laboratory tests (Electroencephalograph [EEG], Electrocardiograph [ECG], Computed Tomography [CT], and blood analysis,

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and <u>urinary-urine analysisanalyses</u>). Patients were excluded if they had experienced serious adverse events while taking sertraline;-, if they currently had significant suicidal or homicidal tendencies (<u>either from their medical history histories</u> or <u>HSRD</u> <u>scores \geq 4 on item 3, "suicide"</u>), in HSRD \geq 4); if they were currently pregnant or breastfeeding;-, if they were currently shift workers;-, if they currently had <u>a</u> significant sleep disorder (e.g., RBD, obstructive sleep apnea [OSA], periodic limb movement during sleep [PLMS], restless legs syndrome [RLS], and so on<u>etc</u>);-,), or if they had a serious medical condition in the previous 3 months.

After <u>a</u>.7-day washout phase for patients receiving who had received medicinetreatmentmedication in the previous 3 months and <u>a subsequent 2-night baseline</u> <u>vPSG assessment</u> the following 2-night baseline vPSG assessment, patients received sertraline for 8 weeks. At baseline and during the 4 visits (1st day, 14th day, 28th day, and 56th day), the patients were assessed by the HRSD (clinical improvement), Treatment Emergent Symptom Scale (TESS-Severity [TESS-S] and TESS-Treatment [TESS-T]: side effects) (Guy, 1976), Epworth Sleepiness Scale (ESS: sleepiness) (Johns, 1992), and Pittsburgh Sleep Quality Index (PSQI: sleep quality) (Buysse et al., 1989). On the 1st day, 50 mg of sertraline was administered at 8 am on the 1st day. Itwas thenThen, the dose was titrated according to the clinical efficacy and side effects; with the a-maximum dosage of was 200 mg/day. Similar to the 1st day, sertraline usually was usually administered at 8 am during thisthroughout the clinical trial, except for cases of significant sedation and or dosages of 200 mg/day. Sertraline would bewas administered at 8 pm for patients with experiencing significant sedation;

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and sertraline would be administered<u>and</u> twice daily (8 am and 4 pm) for patients with <u>receiving the dosage of 200 mg/day</u>. Concomitant use of central nervous system medications during the trial, especially benzodiazepines and sedatives, was prohibited.

2.2. Video-Polysomnographic Study

At baseline, the sleep laboratory test consisted of two consecutive nocturnal vPSG assessments followed by a daytime Multiple Sleep Latency Test (MSLT). Because of the first night effect, the first night was regarded as an adaptation night (Agnew et al., 1966). The vPSG variables on the second night and the MSLT on of the third daytime were defined as baseline data. Because of daytimethe MSLT was conducted during the day, the third night was not suitable for vPSG assessment. Thus, the vPSG assessment for the 1st day of drug treatment was initiated on the fourth 4th and 50 mg of sertraline was administered at 8 am on the fourth 4th day. The acute effects of Sertraline sertraline on RSWA and sleep architecture was were evaluated in the 1st day vPSG assessment, which was not conducted in most of previous researchesstudies. Further, these patients were assessed by vPSG in three following subsequent visits (14th day, 28th day, and 56th day). On each of the subsequent 3 visits during the 8-week trial, patients were assessed by with one night of PSG followed by the MSLT.

According to the <u>The</u> nocturnal vPSG, <u>included</u> the <u>following</u> basic recordings-<u>included</u>: <u>a</u>-standard EEG (F4-A1, C4-A1, O2-A1, C3-A2), an <u>electrooculographelectrooculography</u> (EOG: LE-A2, RE-A1), <u>a</u>-submental

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electromyographelectromyography (EMG), a-bilateral leg2s EMG (anterior tibialis muscles), an-ECG, nasal airflow pressure, thoracic and abdominal respiratory efforts, oxyhemoglobin saturation, breathing sound, and body position. All of the sleep variables were derived from the visual scoring of the recordings using standard criteria and were divided into two groups: sleep continuity indices and sleep architecture indices. Sleep continuity indices included the total recording time (TRT, "lights out" to "lights on" in minutes), total sleep time (TST), sleep efficiency (SE, the TST divided by the TRT), sleep latency (-SL, "lights out" to the first epoch of any sleep in minutes), REM latency (sleep onset to the first epoch in REM stage in minutes), wake after sleep onset (WASO, stage W during the TRT, minus the SL, in minutes) and arousal index (AI: the number of arousals divided by the TST). The sleep architecture indices included the percentages of time spent in each stage (the time in stage 1, stage 2, stage 3, and stage REM divided by the TST) (Iber C, 2007). The 5-nap MSLT was performed according to the standard recommendation to determine the mean SL (Carskadon et al., 1986). All computerized sleep data were further edited by an experienced PSG technologist, and this technologist were who was blinded to this the research study. Sleep stages, respiratory events, and periodic limb movements were scored according to the AASM-2007 criteria at 30-second intervals (Iber C, 2007), but the REM sleep was scored according to a modified method (Lapierre and Montplaisir, 1992). In this method, the first epoch with the occurrence of rapid eye movement and low-amplitude, mixed-frequency EEG was used to determine the onset of a REM sleep period. The termination of a REM sleep

period was identified either by the occurrence of specific EEG features (K complexes, sleep spindles, or EEG signs of arousal), or by the absence of rapid eye movement and low-amplitude, mixed-frequency EEG during for 180 seconds (Lapierre and Montplaisir, 1992). At the first night of baseline vPSG assessment, sSubjects with significant PLMS (PLM index [PLMI] \geq 15), or significant OSA (apnea-hypopnea index [AHI] \geq 15) on the first night of the baseline vPSG assessment would be were excluded from the study. The video recordings were also examined by the sleep technician for any abnormal movement, behavior and vocalization during REM sleep.

2.3. Tonic and Phasic EMG Activities during REM Sleep

According to <u>the</u> AASM-2007 criteria, tonic muscle activity during REM sleep was defined as an epoch of REM sleep <u>with-in which</u> at least 50% of the duration of the epoch <u>having-had a</u> submental EMG amplitude greater than the minimum amplitude demonstrated in NREM sleep. Phasic muscle activity during REM sleep was defined by following criteria.-<u>: i</u>In a 30-second epoch of REM sleep divided into 10 sequential, 3-second mini-epochs, at least 5 (50%) of the mini-epochs contained bursts of transient muscle activity. These excessive <u>bursts of</u> transient muscle activity <u>bursts</u>-were 0.1-5.0 seconds in duration and at least 4 times as highligher in amplitude as than the background EMG activity. Tonic muscle activity was only scored in the-submental EMG<u>§</u> (Iber C, 2007). To exclude <u>the</u> the disruption <u>of REM sleep of by</u> physiologic events for REM sleep, REM epochs in which an-EEG arousal, <u>a</u> snore artifact in the submental EMG, PLMS, or hypopnea was present were eliminated from further analyses (Winkelman and James, 2004). Finally, the numbers of 30-second epochs without atonia, 30 second epochs with phasic submental muscle activity, and 30 second epochs with phasic anterior tibialis muscle activity were computed separately for each REM period. The number of their epochs was <u>then</u> divided separately by the total number of epochs of REM sleep to obtain the exact percentage<u>s</u> of phasic and tonic RSWA. Both of the abnormal tonic and abnormal phasic RSWA were defined as <u>more greater</u> than 18% in this study (Frauscher et al., 2012).

2.4. Data analysis

The data were presented as the mean \pm standard deviation for continuous variables and as numbers or percentages for categorical variables. Parametric and non-parametric data were compared usin<u>g the</u> independent *t*-test and Mann-Whitney U test respectivelyt, respectively (2 groups). A oneOne-way analysis of variance (ANOVA) and Kruskal Wallis Test-tests were performed for to comparing compare parametric and non-parametric data (\geq 3 groups). Significant effects in-from ANOVA<u>s</u> were further examined with post-hoc tests using the least significant difference method with a BoferrroniBonferroni correction for multiple comparisons. Mann–Whitney U tests with adjusted <u>p-P-</u>values (significant at P=0.005) were used for multiple pairwise comparisons. The Chi-square test was used to analyze thedifferences in categorical variables. The eCorrelations between the reducing-reduced_ score rates of the clinical and polysomnographic measures and the reducing reduced score rates of tonic and phasic EMG activities during REM sleep were performed using the Pearson test. A two-sided 5% level of significance was considered statistically significant. All statistical procedures were performed by-using_-Statistical Package for the Social Sciences 17.0 for Windows (SPSS, IneInc., Chicago, IL).

3. RESULTS

3.1. Recruitment process

Fifty-five patients with major depressive disorder were initially enrolled in this study. Seventeen patients were excluded for the following reasons: 11 patients had other DSM-IV comorbid Axis I disorders, and 6 patients did not have moderate or severe insomnia (HRSD-sleep disturbance score < 3). Among these-the_38 remaining patients, 11 patients without who were not taking any medicine treatmentmedication directly entered the baseline vPSG assessment. During the first night of baseline vPSG assessment, 7 patients were excluded for the following reasons: 3 patients were diagnosed as-with significant OSA, and 4 patients with insomnia were enrolled in this study. Nine patients discontinued treatment during the trial period. Of these 9, 5, -Five-patients discontinued treatment during the trial period. Of these 9, 5, -Five-patients discontinued treatment during the trial period. If these 9, 5, -Five-patients discontinued treatment during the trial period. If these 9, 5, -Five-patients discontinued treatment during the trial period and the original symptoms and combinations with other drugs+, 1 due to a gastrointestinal side effect+, 1 due to emerging psychotic symptoms requiring the addition of antipsychotic drugs+, and 1 due to refusal of to participate in further sleep tests). One patient discontinued during-between the 14th -and 28th day due to a revised diagnosis of bipolar disorder-, and.

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Three-3 patients discontinued during-between the 28th –and 56th day (1 due to a revised diagnosis of OCD and 2 due to refusal of-to participate in further sleep tests). Finally, 22 patients completed this trial. This recruitment process was is shown in Figure 1.

Insert Figure 1

3.2. Demographic and clinical characteristics

The thirty-one patients were predominantly young (32.7±9.2 years old) and

female (female: 61.3%)-subjects. Their demographic and clinical characteristics are

presented in Table 1.

Insert Table 1

3.3. Clinical Assessment

Table 2 shows selected clinical and polysomnographic measures. The mean

daily sertraline doses for sertraline-were 126.9±25.4 (100-150) mg on the 14th day,

144.0 \pm 30.0 (100-200) mg on the 28th day, and 134.1 \pm 28.4 (100-200) mg on the 56th

day. Only a few patients took 20<u>00m mg</u>/day <u>of</u> sertraline (2 patients <u>in-on</u> the 28th day and 1 patient in the 56th day), <u>;</u> so sertraline <u>were-was administratedadministered</u> twice daily <u>for-to_them_these patients</u> (10<u>00m mg</u> at 8 am and 10<u>00m mg</u> at 4 pm). Further, no patient was administered sertraline at night for <u>significant</u> sedation. In addition, there were only limited side effects (TESS) <u>were observed</u> during the 8-week trial. The HRSD scores <u>started began</u> to improve <u>starting fromon the</u> 14th day of treatment. The HRSD-sleep disturbance scores <u>became</u> significantly lower<u>ed</u> after the 28th day. The <u>secores of PSQI</u> and ESS <u>scores</u> decreased gradually during this trial, <u>and both questionnaires</u> on the 14th, 28th, and 56th days, the scores of both <u>questionnaires</u> were significantly lower than <u>those at</u> baseline. No patient reported any violent<u>t dreams</u>, <u>or dreams</u> enacted dreams at home during the study that, which-could evoke-indicate clinical RBD.

3.4. Polysomnographic Assessment

There were no significant differences in the TRTs during the trial. From the 14th day onward, the TSTs and SEs became longer and higher than compared with those at the baseline or the 1st day respectivelyy, respectively. From the 14th day onward, the SL and WASO scores decreased significantly, and the SL scores reached a normal range (<_30 minutes) after the 14th day. The AI measure reached the highest level on the 1st day and showed awas decreased at the subsequent visits. There was were no statistical differences inficant differences between baseline and the latter last 3 visits. The percentage of stage 1 sleep decreased during the trial; and it was significantly

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lower on the 28th and 56th days than on the 1st day and <u>at</u> baseline. The percentage of stage 2 sleep remained stable <u>during-throughout</u> the trial. The percentage of stage 3 sleep increased gradually <u>and was greater</u> <u>- and was more-than 10% at during</u> the <u>last</u> <u>3_3 latter</u> visits compared with baseline and the 1st day. Compared with baseline, <u>the</u> the REM <u>latency-latencies</u> was were significantly prolonged significantly on the 1st day and decreased gradually during the treatment. However, the REM <u>latency-latencies</u> was were shown in the percentages <u>-</u> of REM sleep <u>during throughout</u> the trial. Compared with baseline, PLMI <u>scores</u> increased as <u>soon</u> as the <u>immediately after</u> sertraline administration of sertraline on the 1st day. From the 14th day onward, PLMI <u>scores</u> continued to increase, and <u>it were</u> became-significantly higher in <u>all three latterthe last 3</u> visits than <u>at</u> baseline and the 1st day. The AHI kept-scores remained stable during throughout the this clinical trial. During the daytime assessment (MSLT), the mean SL remained stable during the trial (<u>T</u>table 2).

Insert Table 2

3.5. Tonic and Phasic RSWA during REM Sleep

Tonic and phasic RSWA increased mildly and non-significantly from the-

baseline to the first night after sertraline intaketreatment. Then, from the 14th day

onward, <u>all ofboth</u> tonic (submental) and phasic (submental and anterior tibialis) RSWA increased and became significantly higher in <u>all threethe last 3 latter</u> visits than <u>compared with</u> baseline and the 1st day. There were no further differences between the <u>last</u> three <u>last</u>-measures_a, <u>taken on the at</u>-14th, 28th and 56th day<u>s</u>, At the endpoint of this clinical trial (the 56th day), tonic RSWA reached 12.0%±4.3%, phasic submental RSWA reached 11.4%±4.2%, and phasic anterior tibialis RSWA reached 15.1%±6.6%. According to <u>eutoffs-the cutoff</u> of abnormal tonic and phasic RSWA_ of >_18%, the proportion of patients with abnormal phasic anterior tibialis RSWA became-was_significantly higher in <u>all three latterthe last 3</u> visits than <u>at</u> baseline and the 1st day, while <u>the</u> proportions of patients with abnormal tonic and phasic submental RSWA kept-remained stable during the current trailthroughout the trial (table-Table 3 & figure Figure 2 a-c). Notably, no abnormal movement, behavior and or vocalization were-was_observed <u>during REM sleep</u> on the video recordings-in REM sleep.

Insert Table 3

Insert Figure 2 a-c

Because the recurrent major depression (up to 7 episodes in the study) should share some biological and clinical aspects with bipolar sepetrumspectrum disorders, we compared tonic and phasic RSWA between single-single-type depression and recurrent-recurrent-type depression, and no No significant difference was shown between the two groups during the current the trial (table Table 4).

Insert Table 4

We calculated the <u>reducing reductions in scores</u>_<u>-rates</u> of the clinical and polysomnographic measures and tonic and phasic RSWA from endpoint to baseline ([the value at the endpoint - the value at baseline] / the value at baseline × 100%). The <u>reducing reduction in score rate of tonic RSWA scores (216.4% ± 53.9%) was</u> <u>positively</u> correlated <u>positively</u> with the <u>reducing reduction score rates of in</u> REM <u>Latency_latency (37.0% ± 22.7%) (r_=0.56, p=0.004)</u> and PLMI (129.4% ± 49.8%) (r =0.39, p=0.047) <u>scores</u>, and <u>was negatively</u> correlated <u>negatively</u> with the <u>reducingreduction in score rates of HRSD scores (-68.6% ± -21.3%) (r =-0.43, p=0.03). [The reducing score rates of <u>reductions in phasic submental (202.9% ± 87.1%) (r =-0.51,</u> p=0.02) and anterior tibialis (151.3% ± 61.5%) (r_=0.41, p=0.04) RSWA <u>scores were</u> <u>correlated positively correlated with the reducing reduction in score rates of REM</u> <u>Latency scores</u>. The amount of RSWA did not correlate with the dosage of sertraline.</u>

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On the other hand, no significant correlations were shown between the reducing scorerates of reduction in RSWA scores and continuous demographic and clinical characteristics (such as: age) age at the baseline, and the reducing reduction in score rates of RSWA scores were not significantly different among categorical demographic and clinical characteristics (such as: gender) at the baseline.

4. DISCUSSION

In the current study, Sertraline sertraline exacerbated RSWA-during the currentstudy, but did not induced RBD. From the 14th day onward, the tonic and phasic RSWA and the proportion of patients with abnormal phasic anterior tibialis RSWA (>_ 18%) became-significantly higher increased than that of compared with baseline and the 1st day; and then then keptremained stable. The results of To some extent, the phasic RSWA results were not inconsistent with those in Winkelman's study to some extent. In Winkelman's study, compared with normal control, only tonic RSWA was significantly increased in subjects taking serotonergic antidepressants compared with normal controls-only had significantly tonic RSWA.; and the both submental and anterior tibialis phasic RSWA in level (Winkelman and James, 2004). It-This different might be due to the small sample size (n=15) and a-mixture of antidepressants used in Winkelman's study. Two subjects were even taking bupropion (2000m mg/day), which might have diminished RSWA.--(Winkelman and James, 2004). Further, usingif the a cutoff of abnormal tonic RSWA greater than 20% was used (Gagnon et al.,

2006), the proportions of patients with abnormal tonic RSWA in the current study were was similar among the current study and to that of two previous studies (thecurrent study: 4.5% [1/21], Winkelman: 13.3% [2/15], Zhang: 14.3% [3/21]; χ^2 =1.44, p=0.09) (Winkelman and James, 2004, Zhang et al., 2010). In summary, these results support the notioned that SSRIs could can induce or exacerbate RSWA, especially forphasic anterior tibialis RSWA. It was reported that most Most abnormal sleep behaviors-seen observed in RBD have been reported to correspond to movements of the limbs (Schenck, 2005). However, no patients reported some-abnormal behaviors being related with to RBD in the current study. It This might be due to these the following reasons. F: firstly, some subtle behaviors might be have been ignored by patients and their bed-partners, and even couldmay not be have been detected by the concomitant-videos-; Secondlysecond, the clinical meaning for of RSWA was is elusive, and RSWA which might only be abe identifiable from PSG findings and could not develop into overt clinical RBD .; Thirdlythird, RSWA could can develop into RBD, but, by chances, it this did was not happened occur in the current study with due to the small sample size. Further, RSWA could might also be a necessary (permissive) but not a-sufficient (active) condition to promote RBD. One may-might also imagine that higher amounts levels of RSWA are necessary for the RBD-associated dreaming behavior to be enactoccured. In this direction Moreover, a mean of 39% the amount of tonic RSWA was observed in patients with idiopathic and PD-associated RBD (Iranzo et al., 2005) is a mean 39%, which is large greater than the 12% found in our study. (Iranzo et al., 2005). Also, Additionally, RSWA amounts

are were higher in multiple systemic atrophy than <u>those</u> in PD or idiopathic RBD, but the severity of <u>the</u> corresponding behaviors <u>is-was</u> milder (Iranzo et al., 2005). This suggests that <u>both conditions</u>, RBD and RSWA₇ are strongly₁ but not linearly₁ linked.

The-REM sleep suppression (e.g., increased REM latency, decreased REM sleep duration, and so on) is characteristic for of antidepressants, and is strongly linked to increased serotoninergic tone (Rush et al., 1989, McNamara et al., 2010). In this study, the reducing reduction in score rate of REM latency scores positively correlated with the reducing-reduction inscore rates of __all of both tonic and phasic RSWA. It This result was consistent with Winkelman's suggestionstudy, in which the extent of prolonging prolonged REM latency was suggested as a marker of the degree of RSWA (Winkelman and James, 2004). Since Because the correlation between REM latency and RSWA was has never been reported in previous studies for patients with idiopathic RBD or neurodegenerative disease-related RBD in previous studies, so the mechanisms of producing underlying RSWA should be are likely different between idiopathic RBD and antidepressant-related RBD. It-This notion might be supported by some certain risk factors (male sex and elder older age) for idiopathic RBD not that were being not shown found in this study and or some previous studies (Nash et al., 2003, Hoque and Chesson, 2010, Zhang et al., 2010, Winkelman and James, 2004, Gagnon et al., 2006). Unlike the effects observed with to-most antidepressants, the percentage of REM sleep kept was stable during throughout the this trial. This phenomenon was also reported by another research study about testing the effects of sertraline on sleep architecture (Jindal et al., 2003), so it mightsuggesting that sertraline had has less of a suppressive effection on the duration of REM sleep duration than most antidepressants. In addition, the percentages of REM sleep after sertraline administration were somewhat lower than at baseline,-; however, although all of them did not reach thenone of these differences were statistical differencesignificant difference., It might possibly be due to the small sample size in this research study to some extent. In some previous case reports, the antidepressant-related RBD could disappeared as soon as the immediately following the discontinuation of antidepressant uses discontinuation (Onofrj et al., 2003). In this study, the reducing reduction in score rates of tonic RSWA scores was also significantly correlated with PLMI and HRSD scores. As some previous researchesstudies suggested,, similarlysimilar with to the antidepressant effectiveness -effectiveness (HRSD) scores), the extent of extent of increased PLMI incrementscores might reflect the pharmacological effect of sertraline on depression-related 5-HT and/or dopaminergic (DA) neurotransmission-being involved in depression__ (Mendelson, 1996, Kugaya et al., 2003). Thus, RSWA, PLMS, REM latency, and HRSD scores might be involved in the mechanisms about 5 approximately of 5-HT and/or DA neurotransmission to some extent; this likely explains, why all of these scores wereso it was understandable that all of them correlated with each other.

For clinicians, the central question <u>is-remains</u> whether <u>the sertraline-induced</u> RSWA <u>being induced by sertraline can beis</u> associated with clinical repercussions. According to subjective sleep and mood aspects and the objective sleep quality and continuity in PSG, <u>sertraline-induced</u> RSWA <u>being induced by sertraline doesdid</u> not have-<u>cause</u> significant clinical disturbance in the current clinical trial. Or inln other words, the potential adverse effects <u>sertraline-induced of induction of RSWA by</u> sertraline-might be outweighed by the significant improvements <u>of in mood and sleep</u> parameters <u>caused by sertraline. It was noted that depressionNotably, depression</u> is a common mental disorder with the <u>a</u> prevalence of 10-20% (Murray, 1996), and most of depressive patients <u>were are currently</u> treated by with antidepressants, especially SSRIs in the current time<u>SSRIs</u>. Thus, SSRIs-related RSWA should be <u>considered a</u> serious public problem in depressed patients, <u>since _ because</u> it might <u>be represent a</u> potential risk factor for RBD. However, the SSRIs-related RBD is <u>usually</u> ignored by most physicians. For If patients with the usage of <u>use</u> antidepressants, <u>- and if they</u> reported abnormal movements, behaviors and vocalizations <u>behaviours</u> during sleep, vPSG should <u>be a routinely be used to</u> assess <u>ment for aand</u> accuratelye estimateing their-RSWA.

Some caution should be exercised in interpreting the <u>effects results</u> reported here. First, <u>no-a</u> placebo-control group was <u>not involved used</u> in this <u>researchstudy</u>. Second, the sample size <u>in this study</u> was small.

5. CONCLUSIONS

In the current study, <u>Sertraline sertraline</u> exacerbated RSWA <u>during the current</u> study, but did not induced RBD. Unlike idiopathic RBD, <u>the sertraline-related RSWA</u> <u>had-was</u> correlated with REM latency and <u>no-was not predominance-predominantly</u> <u>associated with the of-</u>male sex and <u>elder-older</u> age, suggesting <u>the involvement of</u> different mechanisms. Further, <u>although the sertraline-induced RSWA</u> seems-<u>did not</u> <u>causenot to have</u> significant clinical disturbance and no-overt RBD was <u>not</u> found in current study,-<u>regarding Despite these observations</u>, <u>RBD being the greater</u> prevalen<u>ce of RBD</u> t-in patients with the usage<u>using of</u>-antidepressants than that <u>in</u> the general population, <u>indicates that</u> the antidepressant-related RSWA should-is <u>be-a</u> potential public health problem-issue for in the depressed patients.

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Table 1. Demographic and clinical characteristics of depressed patients (n=31)

32.7±9.2 (18-57)
12/19

Marriage (married/single/divorced or widowed)	17/9/5
Occupation (full-time/part-time/no job or retired)	16/7/8
Education (university or above/middle	11/16/4
school/primary school or below)	
Resident (city/town/country)	13/10/8
elinical <u>Clinical</u> characteristics	
Age <u>at</u> onset (in years)	23.9±8.0 (15-33)
BMI (kg/m ²)	23.2±6.2 (19.4-25.3)
Total duration of illness (years)	9.7±10.4 (0-27)
Single type/recurrent type	8/23
Number of illness episodes	2.7±1.9 (1-7)
Length of current illness (in weeks)	6.6±5.0 (2-12)

BMI: body mass index.

Table 2. Clinical and polysomnographic measures across the sertraline treatment

in- <u>of</u> depressed	patients
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Baseline	1 st day	14 th day	28th day	56 th day	Statistics
(n=31)	(n=31)	(n=26)	(n=25)	(n=22)	

Dosage (mg/day)		50.0 ^a	126.9±25.4 ^b	144.0±30.0 ^b	134.1±28.4 ^b	F=103.90, P<0.001
HRSD	22.4±5.3 ª	23.1±5.3 ª	14.5±4.1 ^b	$9.7{\pm}2.6^{b,c}$	6.9±1.9°	F=13.02, P<0.001
HRSD-sleep disturbance	4.1±3.3 ^a	4.0±3.6 ª	$3.5{\pm}3.1^{a, b}$	$2.7{\pm}1.4^{\text{b}}$	$2.5{\pm}1.5^{\ b}$	KW=11.85, P=0.01
factor						
TESS-S		0.8 ± 1.5	0.7 ± 0.7	0.5 ± 0.6	0.5 ± 0.6	KW =0.94, P=0.24
TESS-T		$0.6{\pm}1.6$	0.6 ± 1.0	0.4 ± 0.5	0.4 ± 0.4	KW =0.57, P=0.60
PSQI	13.5±6.2 ^a		7.9±4.7 ^b	6.3±3.4 ^b	6.0±3.5 ^b	F=11.14, P<0.001
ESS	7.2±4.5 ^a		5.3±3.9 ^b	3.8 ± 4.1^{b}	$4.0{\pm}3.5$ ^b	KW=15.57, P=0.003
TRT (min)	504.7 ± 71.9	492.2 ± 86.0	507.4 ± 77.2	511.1±59.4	499.5 ± 63.4	F=0.79, P=0.87
TST (min)	364.9±103.5 ª	347.5±114.3 ª	$423.2{\pm}98.6^{b}$	$440.1{\pm}103.7^{b}$	427.1 ± 88.5^{b}	F=14.09, P=0.01
SE (%)	72.2±22.8 ^a	70.6±29.1 ^a	$83.4{\pm}27.5^{a, b}$	86.1±31.3 ^b	85.5±27.8 ^b	F=5.71, P=0.03
SL (min)	51.9±29.5 ª	46.6±23.5 ^a	25.3 ± 14.1 ^b	$21.7{\pm}11.8^{b}$	22.4±12.3 ^b	F=13.25, P<0.001
REM Latency (min)	77.3±38.1 ^a	134.3 ± 82.9^{b}	121.3 ± 67.0^{b}	109.4±73.1 ^b	105.2±60.3 ^b	F=27.05, P<0.001
WASO (min)	87.9±31.9 ^a	98.1±35.6 ª	$58.9 {\pm} 19.8^{b}$	49.3±21.3 ^b	$50.0{\pm}17.7^{\ b}$	F=35.93, P<0.001
AI	8.9±6.6 ^a	$13.8 \pm 7.2^{\text{b}}$	7.3±6.8 ^a	6.4±4.8 ^a	6.0±5.2 ª	F =6.66, P=0.04
% Stage 1	12.8±5.9 ^a	15.2±6.6 ^a	9.0±4.4 ^{a, b}	$7.0{\pm}1.7^{b}$	$8.0{\pm}2.9^{b}$	F=5.03, P=0.03
% Stage 2	59.2±21.3	$57.4{\pm}18.7$	57.9 ± 20.5	56.8±19.3	53.2±22.4	F=1.73, P=0.34
% stageStage 3	$3.2{\pm}1.5$ ^a	2.8±2.2 ^a	12.9 ± 5.8^{b}	14.1 ± 8.4^{b}	16.0±7.9 ^b	F=12.06, P<0.001
% REM sleep	24.8 ± 7.1	24.6±6.9	20.2 ± 8.5	22.1±10.4	22.8±9.6	F=0.86, P=0.72
PLMI	$3.6{\pm}1.5^{\ a}$	5.1 ± 3.9^{b}	8.7±3.1 °	8.3±3.7 °	$8.5\pm3.6^{\circ}$	F=9.81, P=0.003
AHI	6.2±1.7	6.3±1.7	5.9 ± 2.0	$6.0{\pm}1.9$	$5.9{\pm}1.9$	F=0.24, P=0.27
Mean SL of MSLT (min)	16.4±11.3	14.7 ± 8.9	15.2±9.5	17.1±10.4	14.6±9.0	F=0.30, P=0.34

HRSD: Hamilton rating scale for depression, TESS-S: treatment emergent symptom scale-severity, TESS-T: treatment emergent symptom scale-treatment, PSQI: Pittsburgh sleep quality index, ESS: Epworth sleepiness scale, TRT: total recording time, TST: total sleep time, SE: <u>Sleep-sleep Efficiencyefficiency</u>, SL: <u>Sleep-sleep sleep</u> <u>[Latency</u>, WASO: wake after sleep onset, AI: arousal index, REM: rapid eye movement, PLMI: periodic limb movement index, AHI: apnea-hypopnea index, MSLT: multiple sleep latency test.

^{a, b, c} Groups with different superscript letters are significantly different.

F: ANOVA, KW: Kruskal Wallis Test.

 Table 3. Percentages of epochs with tonic and phasic RSWA across the sertraline

 treatment_in_of_depressed patients

30-second Epoch	Baseline	1st day	14 th day	28th day	56 th day	Statistics
	(n=31)	(n=31)	(n=26)	(n=25)	(n=22)	
% Tonic RSWA	3.2 ± 1.8^{a}	5.1±2.3 ^a	$10.4{\pm}2.7^{\text{ b}}$	$10.2 \pm 2.5 ^{b}$	12.0±4.3 ^b	F=52.62, P<0.001
Patients with abnormal tonic RSWA (>	0	0	0	0	2 (9.1%)	$\chi^2 = 7.42, P = 0.12$
18%), n (%)						
% Phasic submental RSWA	3.4 ± 1.9^{a}	4.8±2.2 ª	$9.4{\pm}3.8^{b}$	10.3±3.9 ^b	11.4±4.2 ^b	F=32.38, P<0.001
Patients with abnormal phasic	0	0	0	1 (4.0%)	0	$\chi^2 = 3.44, P = 0.49$
submental RSWA (> 18%), n (%)						
% Phasic anterior tibialis RSWA	$6.2{\pm}~2.1^{\text{ a}}$	$8.2{\pm}2.8^{a}$	$14.6{\pm}6.8^{b}$	$15.5{\pm}6.6^{b}$	$15.1{\pm}6.6^{b}$	F=20.73, P<0.001
Patients with abnormal phasic anterior	0 ^a	0 ^a	8 (30.8%) ^b	9 (36%) ^b	7 (31.8%) ^b	$\chi^2 = 33.44, P < 0.001$
tibialis RSWA (> 18%), n (%)						

RSWA: REM sleep with atonia.

% tonic Tonic and phasic RSWA: the numbers of 30-second epochs with tonic and

phasic RSWA being were divided separately by the total number of epochs of REM

sleep<u>.</u>

F: ANOVA, χ^2 : Chi-square test.

Table 4. Percentages of epochs with tonic and phasic RSWA between single-

<u>single-type</u> and <u>recurrent-recurrent-</u>type <u>depression</u> across the sertraline

	Single type	Recurrent type	Statistics
Baseline	n=8	n=23	
% Tonic RSWA	2.9 ± 1.9	3.3 ± 2.1	MWU=1.82, P=0.39
% Phasic submental RSWA	3.6 ± 2.1	3.3 ± 1.9	MWU=1.14, P=0.51
% Phasic anterior tibialis RSWA	6.0 ± 2.5	6.3±2.2	T=1.37, P=0.47
1 st day	n=8	n=23	
% Tonic RSWA	5.2±2.6	5.1±2.4	T=0.54, P=0.72
% Phasic submental RSWA	5.0±2.7	4.7±2.3	T=0.77, P=0.63
% Phasic anterior tibialis RSWA	8.5 ± 3.3	8.0 ± 2.9	T=1.32, P=0.46
14 th day	n=8	n=18	
% Tonic RSWA	9.8±3.2	10.7±3.0	T=1.37, P=0.38
% Phasic submental RSWA	9.6 ± 4.0	9.3 ± 3.7	T=0.90, P=0.53
% Phasic anterior tibialis RSWA	12.9 ± 5.7	14.8 ± 7.0	T=1.76, P=0.27
28 th day	n=7	n=18	
% Tonic RSWA	12.1±3.9	10.0±2.7	T=1.08, P=0.56
% Phasic submental RSWA	10.2±4.4	10.1±3.8	T=0.27, P=0.68
% Phasic anterior tibialis RSWA	18.1 ± 8.2	15.1 ± 6.7	F=1.50, P=0.47
56 th day	n=6	n=16	
% Tonic RSWA	13.9±5.7	11.6±4.7	T=0.93, P=0.49
% Phasic submental RSWA	12.7±5.8	11.1±4.6	T=0.46, P=0.67
% Phasic anterior tibialis RSWA	14.5 ± 7.8	15.3 ± 5.9	T=0.62, P=0.55

treatment in-of depressed patients

RSWA: REM sleep with atonia.

% tonic Tonic and phasic RSWA: the numbers of 30-second epochs with tonic and

phasic RSWA being were divided separately by the total number of epochs of REM

sleep<u>.</u>

T: independent *t*-test, MWU: Mann-Whitney U test.

Legend of the figures Figure legends

Figure 1. Flow diagram documenting <u>the</u> recruitment and treatment of depressed patients with insomnia. PSG: Polysomnogram; DSM-IV: diagnostic and statistical manual of mental disorders fourth edition; HRSD: Hamilton rating scale for depression; OSA: obstructive sleep apnea; PLMS: periodic limb movement during sleep; OCD: obsessive-compulsive disorder.

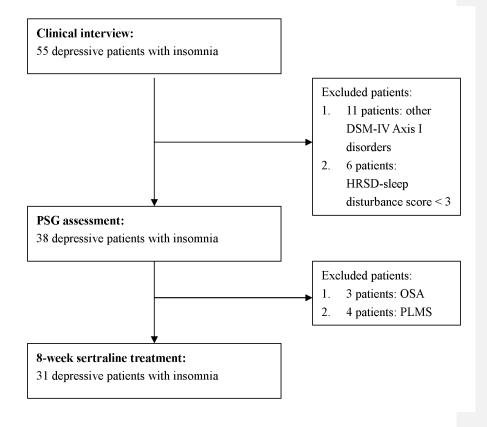


Figure 2 a-c. Tonic and phasic EMG activities in REM sleep across the sertraline treatment in-of depressed patients. Figure 2 a. Tonic EMG activities in REM sleep (x axis,-: baseline, the 1st day, the 14th day, the 28th day, and the 56th day; y axis,-: % of 30-second epochs with tonic RSWA). Figure 2 b. Phasic submental EMG activities in REM sleep (x axis,-: baseline, the 1st day, the 14th day, the 28th day, and the 56th day; y axis,-: % of 30-second epochs with phasic submental RSWA). Figure 2 c. Phasic anterior tibialis EMG activities in REM sleep (x axis;-: % of 30-second epochs with phasic submental RSWA). Figure 2 c. Phasic anterior tibialis EMG activities in REM sleep (x axis;-: % of 30-second epochs with phasic submental RSWA). EMG: electromyogram; REM: rapid eye movement; RSWA: REM sleep without atonia.

